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**COMPLETE IF KNOWN** Substitute for form 1449A-PTO 10/026,020 **Application Number** INFORMATION DISCLOSURE Filing Date December 27, 2001 STATEMENT BY APPLICANT First Named Inventor Raiph Johnson Art Unit 2828 (USE AS MANY SHEETS AS NECESSARY) **Examiner Name** Tuan M Nguyen Ot Attorney Docket Number V637-002670 US

| U.S. PATENT DOCUMENTS |              |                 |                                   |                                |                             |  |  |
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|                       |              | Document Number |                                   | Dublication Date               | Name of Patentee or         | Pages, Columns, Lines Where<br>Relevant Passages or Relevant |  |
| Examiner<br>Initials* | Cite<br>No.1 | Number          | Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Applicant of Cited Document | Figures Appear   |  |
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| Examiner<br>Initials* | Cite<br>No. | Fo                           | reign Patent Doo    |                                      | Publication<br>Date                    | Name of Patentee o |                    | Pages, Columns, Lines<br>Where Relevant | T <sup>6</sup> |
| J'illuais             | ,40.        | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | MM-DD-YYYY                             |                    |                    | Passages or Relevant<br>Figures Appear  | L,             |
| 4D~                   |             | EP                           | 0 975 073           | A1                                   | 01-26-2000                             | NEC Corporation    |                    |   |                |
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| COMPLETE IF KNOWN      |                   |  |  |  |
|------------------------|-------------------|--|--|--|
| Application Number     | 10/026,020        |  |  |  |
| Filing Date            | December 27, 2001 |  |  |  |
| First Named Inventor   | Ralph Johnson     |  |  |  |
| Group Art Unit         | 2828              |  |  |  |
| Examiner Name          | Tuan M Nguyen     |  |  |  |
| Attorney Docket Number | V637-02670 US     |  |  |  |

|                       |             | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS   |   |
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| xaminer<br>Initials*  | Cite<br>No. | city and/or country where published   | 1 |
| DV                    |             | ANAN, T., et al., "Continuous-wave operation of 1.30 um GaAsSb/GaAs VCSELs", article, Electronics Letters, Vol 37, NO 9, 04-26-2001, pgs 566-7.   |   |
| m                     |             | YANG, X., et al., "High-temperature characteristics of 1.3 um InGaAsN:Sb/GaAs multiple-quantum-well lasers grown by molecular-beam epitaxy", article, Applied Physics Letters, Vol 76, No 7, 02-14-2000, pgs 795-7. |   |
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